## ORIGINAL ARTICLE MORPHOLOGICAL ANALYSIS OF THE RESIDUAL RECTAL MUCOSA IN 12 POSTOPERATIVE ULCERATIVE COLITIS PATIENTS

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**Abstract** Background: There are no reports on the degree of inflammation in the residual rectal mucosa in asymptomatic UC patients after an operation for ileal pouch anal canal anastomosis (IACA).

**Methods**: We studied the residual rectal mucosal biopsies and rectal mucosa from the surgical specimens of 12 asymptomatic postoperative UC cases. We analyzed the degree of inflammation, including crypt architecture and mucus content in the rectal mucosa.

**Results**: Two pathological active cases (16.7%), four resolving cases (33.3%), and six remission cases (50%) were observed in the residual rectal mucosa. In terms of the degree of pathological inflammation in the residual rectal mucosa, one case (1/12, 8.3%) was upregulated, seven cases (7/12, 58.3%) were stable, and four cases (4/12, 33.3%) were downregulated compared with the rectal mucosa of the surgical specimens.

**Conclusions**: We determined the natural history of the degree of inflammation on the residual rectal mucosa in postoperative UC patients. Eight cases (8/12, 66.6%) of asymptomatic UC patients were associated with prolonged inflammation after an IACA operation in the residual rectal mucosa compared with that in the rectal mucosa of the surgical specimens. Therefore, we could identify patients with prolonged inflammation in asymptomatic UC cases by a residual rectal mucosal biopsy.

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Key words: rectum; ulcerative colitis; residual rectal mucosa.

### Introduction

Ulcerative colitis (UC) is a chronic inflammatory bowel disease (IBD), in which the inflammation remains confined to the colon, and the rectum is involved in 95% of patients. The inflammation is diffuse and continuous and largely confined to the mucosa<sup>1)</sup>. In Japan, an abdominal colectomy with ileal pouchanal anastomosis (IPAA) and an ileal pouchanal-canal anastomosis (IACA) are standard methods for UC treatment. IPAA involves a hand-sewn method and anastomoses of the ileo-anal pouch to the anus after removing the mucosa of the anal canal more toward the oral side than the dentate line<sup>2)</sup>. IACA is the method used to staple the ileoanal pouch at the level of the superior border of the anal canal without performing anorectal mucosectomy (Fig. 1)<sup>3)</sup>. Compared to IPAA, IACA is more effective in anastomosis and has the advantage of avoiding the diverting stoma<sup>4, 5)</sup>. IACA has the advantage of maintaining good postoperative bowel function by ensuring that the anoderm and the anal sphincter are kept secure. The frequency of inflammation relapse from the

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**Figure 1** A: The ileal pouch anal anastomosis (IPAA) operation is a hand-sewn method and anastomoses of the ileoanal pouch to the anus after removing the mucosa of the anal canal more toward the oral side than the dentate line. B: The ileal pouch anal canal anastomosis (IACA) operation is a method in which the ileoanal pouch is stapled at the level of the anal canal superior border without performing an anorectal mucosectomy.

residual rectal mucosa is not high, but the possibility that colitis cancer occurs is reported to be 0%-16%<sup>6.9)</sup>. There is an opinion that IPAA with an anorectal mucosectomy is desirable compared with IACA<sup>10</sup>. In a clinical setting, the majority of UC patients do not experience symptoms and require no medication for long periods following the IACA. To the best of our knowledge, there are no published reports for evaluating the degree of inflammation in the residual rectal mucosa after the IACA operation. The level of surveillance of the residual rectal mucosa for patients without symptoms is also unknown. Moreover, the natural course of healing of the residual rectal mucosa after the IACA procedure is not fully understood in asymptomatic UC patients. Here we studied the residual rectal mucosal biopsies and the rectal mucosa from the surgical specimens of 12 asymptomatic postoperative UC cases.

#### Material and methods

#### Patient samples

We performed a prospective analysis of the residual rectal mucosa from 12 UC patients

following the IACA operation between 1998 and 2015 at Hirosaki University Hospital (Hirosaki, Aomori Prefecture, Japan). These patients had no symptoms of UC and received no medication after the operation. There were two patients that exhibited symptoms of hematochezia as a consequence of ileal pouchitis. Biopsies were obtained from patients who consented to a biopsy from Hirosaki University Hospital. All biopsies were performed from May to December, 2015. Informed consent was obtained from each patient regarding the use of clinical records and pathological specimens. The average time from the surgery to the residual rectal mucosa biopsy was  $4198 \pm 2075$  (range: 132–6418) days. The mean age of the patients was  $33.6 \pm 13.3$  (range: 15-55) years old at the time of the first operation. The mean age of the patients was  $45.3 \pm 16.3$  (range: 24–73) years old at the time of the biopsy. Of the patients, 10 were women and 2 were men. There were a total of 28 residual rectal mucosa biopsies, and the mean number of rectal mucosa biopsies per person was 2.3 specimens. We examined the rectal mucosa of a surgical specimen that was representative of the 12 UC patients.



Figure 2 A: Crypt atrophy, there was an increased distance of more than one crypt diameter between crypts and a general increase in the distance between the crypts and the muscular mucosa. B: Crypt distortion, there were two or more branched crypts that exhibited non-parallelism. C: Mucin depletion minimal (absent) case, there was no mucin depletion. Goblet cells were clearly evident. D: Mucin depletion severe case, goblet cell mucin was almost completely exhausted in all crypts as a diffuse change.

This study was approved by the ethics committees of Hirosaki University graduate School of Medicine.

### Pathological examinations of the residual rectal mucosa biopsies and the rectal mucosa of surgical specimens

For the histopathological examination of the residual rectal mucosa biopsies and rectal mucosa, the surgical specimens were routinely formalin-fixed, paraffin embedded, thinly sectioned (4  $\mu$ m), and stained with hematoxylin and eosin. We chose the rectal mucosa of the surgical specimens at the end of the anal side because it was available for epithelial evaluation without the formation of deep ulcers. Moreover,

we also analyzed the crypt architecture, mucus content in the residual rectal mucosa, and the rectal mucosa of the surgical specimens. Furthermore, we studied the level of crypt, mucosal surface, and lamina propria inflammation in the residual rectal mucosa and the mucosa of the surgical specimens using the diagnostic criteria described by Tanaka et al.<sup>11</sup>. *Crypt architecture* 

Crypt atrophy was recognized by the generally increased distance of the more than one crypt diameter and/or a general increase in the distance between crypts and the muscular mucosa in the well-oriented slides of an adequate-sized biopsy (Fig. 2-A). Crypt distortion was diagnosed if there were two or

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Figure 3 A: Cryptitis, a few infiltrating neutrophils were seen in the crypt (arrow). B: Distal Paneth cell metaplasia, red granules were observed in the crypt cytoplasm of the residual rectal mucosa. C: severe (2+) mononuclear cells, plasma cells were clustered together in the residual rectal mucosa. D: "Minimal (0)" mononuclear cell infiltration, plasma cells, and/or lymphocytes infiltrated within the normal line.

more branched crypts exhibiting non-parallelism (Fig. 2-B). An equivocal change was recorded as absent.

#### Mucus content

Mucin depletion was divided into none or minimal (0: absent), 1+, and 2+ (Fig. 2-C, D). Mucin depletion was judged to be 2+ when the goblet cell mucin was almost completely exhausted in at least two crypts. Mucin depletion was not judged in the areas near the lymphoid follicles because it is often observed in this region of normal mucosa. In this study, we judged mucin depletion if minimal (0) as absent, and 1+ and 2+ as existing.

#### Crypt inflammation

Cryptitis and crypt abscesses were defined as either present or absent (Fig. 3-A). Distal Paneth cell metaplasia was recorded either present or absent (Fig. 3-B).

#### Mucosal surface inflammation

Intraepithelial neutrophil infiltration was divided into three degrees as follows: 1) 0, there was no neutrophil infiltration within 100 surface epithelial cells; 2) 1+, 1-4 infiltrating neutrophils within 100 surface epithelial cells; and 3) 2+,  $\geq$ 5 infiltrating neutrophils within 100 surface epithelial cells. The extent of intraepithelial lymphocyte infiltration was divided into three degrees as follows: 1) 0, 0-4 infiltrating lymphocytes within 100 surface epithelial cells; 2) 1+, 5-29 infiltrating lymphocytes within 100 surface epithelial cells; and 3) 2+,  $\geq$ 30 infiltrating lymphocytes within 100 surface epithelial cells.

#### Lamina propria inflammation

Basal lymphoid aggregation was defined as either present or absent. The infiltration of mononuclear cells (lymphocytes and plasma cells) was judged to be "severe (2+)" when they were clustered together (Fig. 3-C). "Minimal (0)" infiltration was recorded as absent (Fig. 3-D). Mild to moderate (1+) was between severe (2+) and absent (0). Focal and diffuse mononuclear cell infiltrations were judged in this study. We considered which of lymphocytic infiltration, the plasma cell infiltration was superior. Basal plasmacytosis was arbitrarily recorded as present when at least three plasma cells per crypt diameter were found below the base of the crypt<sup>11)</sup>. Neutrophil infiltration in the lamina propria was divided into three degrees as follows: 1) 0, there was no apparent neutrophil infiltration; 2) 1+, 1-3 infiltrating neutrophils; and 3) 2+,  $\geq$ 4 infiltrating neutrophils within a high power field ( $\times$  400). Eosinophil infiltration within the lamina propria was divided into three degrees as follows: 1) 0, 0-19 infiltrating eosinophils; 2) 1+, 20-59 infiltrating eosinophils; and 3) 2+,  $\geq$ 60 infiltrating eosinophils within a high power field ( $\times$  400). The neutrophils and eosinophils in the capillaries of the lamina propria were omitted. Granuloma, giant cells, and apoptosis were defined as either present or absent.

#### Pathological inflammatory stage of the rectal mucosa

We divided the stage of pathological inflammation of the rectal mucosa into three categories: 1) remission; 2) resolving; and 3) active stages. The active stage was defined as high levels of diffuse plasma and mononuclear cell infiltration (2+). The resolving stage was defined as moderately diffuse plasma and mononuclear cell infiltration (1+). The remission stage consisted of cellular infiltration below the active and resolving stages.

## Dysplasia and p53 immunohistochemistry of the rectal mucosa

We studied dysplasia and p53 expression in the rectal mucosa of surgical specimens and the residual rectal mucosa following IACA. For the histological examination, residual rectal mucosa specimens and the rectal mucosa of the surgical specimens were routinely fixed in formalin, embedded in paraffin, and thinly-sectioned (4  $\mu$ m). The sections were mounted on silane-coated glass slides. Immunohistochemical examination was performed on deparaffinized sections using the standard avidin-biotin-peroxidase complex method with an automated immunostainer (Benchmark XT, Ventana Medical System, Tucson, AZ, USA). We used an antibody for p53 (diluted antibody, clone DO-7; Dako).

#### Results

## Crypt architecture in the residual rectal mucosa and rectal mucosa of surgical specimens

The residual rectal mucosa of 12 postoperative UC patients exhibited crypt atrophy in three (25%) cases, crypt distortion in nine (75%) cases, and mucin depletion in nine (75%) cases. The rectal mucosa of the surgical specimens for the 12 UC patients demonstrated crypt atrophy in eight (66.7%) cases, crypt distortion in eleven (91.7%) cases, and mucin depletion in nine (75%) cases (Table 1).

## *Crypt inflammation in the residual rectal mucosa and rectal mucosa of surgical specimens*

Crypt inflammation of the residual rectal mucosa was as follows: cryptitis in five (41.7%) cases, crypt abscess in no (0%) cases, and distal Paneth cell metaplasia in eight (67%) cases of the 12 postoperative UC patients. Crypt inflammation in the rectal mucosa of the surgical specimens was as follows: cryptitis in six (50%) cases, crypt abscesses in two (16.7%) cases, and distal Paneth cell metaplasia in three

	Residual Rectal Mucosa n = 12 (100%)	Rectal Mucosa of Surgical Specimens n = 12 (100%)
Atrophy	3 (25%)	8 (66.7%)
Distortion	9 (75%)	11 (91.7%)
Mucin depletion	9 (75%)	9 (75%)

Table 1. Crypt architecture of the rectal mucosa

(25%) cases of the 12 UC patients (Table 1).

### Mucosal surface inflammation in the residual rectal mucosa and the rectal mucosa of surgical specimens

Intraepithelial neutrophil infiltration of the residual rectal mucosa was as follows: 0 in six cases (50%), 1+ in three cases (25%), and 2+ in three cases (25%). Intraepithelial lymphocyte infiltration of the residual rectal mucosa was as follows: 0 in nine cases (75%), 1+ in three cases (25%), and 2+ in no cases (0%). The level of intraepithelial neutrophil infiltration in the rectal mucosa of the surgical specimens was as follows: 0 in nine cases (75%), 1+ in two cases (16.7%), and 2+ in one case (8.3%). The extent of intraepithelial lymphocyte infiltration in the rectal mucosa of the surgical specimens was as follows: 0 in all 12 cases (100%) and none for 1+ or 2+ (0%) (Table 2).

# Lamina propria inflammation in the residual rectal mucosa and rectal mucosa of surgical specimens

Basal lymphoid aggregation was identified in nine (75%) cases in the residual rectal mucosa. Mononuclear cell infiltration of the residual rectal mucosa was as follows: 0 in two cases (16.7%), 1+ in eight cases (66.7%), and 2+ in two cases (16.7%). Neutrophil infiltration in the residual rectal mucosa was as follows: 0 in six cases (50%), 1+ in five cases (41.7%), and 2+ in one case (8.3%). Eosinophilic infiltration of the residual rectal mucosa was as follows: 0 in five cases (41.7%), 1+ in four cases (33.3%), and 2+ in three cases (25%). Basal plasmacytosis, granuloma, and giant cells were absent in all of the residual rectal mucosa specimens. Apoptosis presented in three (25%) cases in the residual rectal mucosa. Basal lymphoid aggregation was evident in seven (58.3%) cases in the rectal mucosa of the surgical specimens. Mononuclear cell infiltration in the rectal mucosa of the surgical specimens was as follows: 0 in two cases (16.7%), 1+ in six cases (50%), and 2+ in four cases (33.3%). Neutrophil infiltration in the rectal mucosa of the surgical specimens was as follows: 0 in eleven cases (91.7%), 1+ in one case (8.3%), and 2+ in no cases (0%). Eosinophilic infiltration in the rectal mucosa of the surgical specimens was as follows: 0 in three cases (25%), 1+ in seven cases (58.3%), and 2+ in two cases (16.7%). Basal plasmacytosis was present in 11 (91.7%) cases in the rectal mucosa of the surgical specimens. Granuloma, giant cells, and apoptosis were absent in the rectal mucosa of all surgical specimens (Table 2).

### Pathological inflammatory stage in the residual rectal mucosa and rectal mucosa of the surgical specimens of 12 UC patients

An active stage of inflammation was identified in two (16.7%) cases, the resolving stage in four (33.3%) cases, and the remission stage in six (50%) cases in the residual rectal mucosa of 12 postoperative UC patients. In addition, an active stage of inflammation was observed in four (33.3%) cases, a resolving stage in four (33.3%) cases, and the remission stage in four (33.3%) cases in the rectal mucosa of the surgical specimens from the 12 UC patients (Table 3).

	Res	idual Rectal Mucosa	Rectal Mucosa of the
		n = 12 (100%)	Surgical Specimens n = 12 (100%)
Crypt Inflammation			
Cryptitis		5 (41.7%)	6 (50%)
Crypt Abscess		0 (0%)	2 (16.7%)
Distal Paneth Cell Metaplasia		8 (67%)	3 (25%)
Intraepithelial Neutrophil Infiltration	0	6 (50%)	9 (75%)
	1+	3 (25%)	2 (16.7%)
	2+	3 (25%)	1 (8.3%)
Intraepithelial Lymphocyte Infiltration	0	9 (75%)	12 (100%)
	1+	3 (25%)	0 (0%)
	2+	0 (0%)	0 (0%)
Lamina Propria Inflammation			
Basal Lymphoid Aggregation		9 (75%)	7 (58.3%)
Mononuclear Cell Infiltration	0	2 (16.7%)	2 (16.7%)
	1+	8 (66.7%)	6 (50%)
	2+	2 (16.7%)	4 (33.3%)
Neutrophil Infiltration	0	6 (50%)	11 (91.7%)
	1+	5 (41.7%)	1 (8.3%)
	2+	1 (8.3%)	0 (0%)
Eosinophil Infiltration	0	5 (41.7%)	3 (25%)
	1+	4 (33.3%)	7 (58.3%)
	2+	3 (25%)	2 (16.7%)
Basal Plasmacytosis		0 (0%)	11 (91.7%)
Granuloma		0 (0%)	0 (0%)
Giant Cells		0 (0%)	0 (0%)
Apoptosis		3 (25%)	0 (0%)

Table 2. Crypt, mucosal surface, and lamina propria inflammation of the rectal mucosa

Table 3. Pathological inflammatory stage of the rectal mucosa

	Pathological Stage of the Residual Rectal Mucosa	Pathological Stage of the Rectal Mucosa at IACA Operation
Case 1	remission	remission
Case 2	remission	resolving
Case 3	resolving	resolving
Case 4	remission	remission
Case 5	remission	active
Case 6	resolving	active
Case 7	resolving	active
Case 8	remission	remission
Case 9	active	resolving
Case 10	remission	remission
Case 11	resolving	resolving
Case 12	active	active

IACA: ileal pouch anal canal anastomosis

## Dysplasia and p53 immunohistochemistry of the rectal mucosa

Dysplasia was not observed in all of the UC residual rectal mucosa and the rectal mucosa of the surgical specimens. The expression of p53

was weak and sporadic or focal/nested for all of the UC residual rectal mucosa and the rectal mucosa of the surgical specimens.

#### Discussion

In this study, we revealed the natural history of the residual rectal mucosa in postoperative asymptomatic UC patients. Crypt distortion. mucin depletion, distal Paneth metaplasia, basal lymphoid aggregation, mononuclear cell infiltration, and eosinophil infiltration of the lamina propria were observed in more than half of the 12 UC patients' residual rectal mucosa. In contrast, crypt abscesses, basal plasmacytosis, granuloma, giant cells, and cryptitis were not observed in the residual rectal mucosa of the postoperative UC patients. Using our analytical method, we identified two cases in the pathological active stage, four cases in the pathological resolving stage, and six cases in the pathological remission stage from the residual rectal mucosa of the postoperative UC patients without clinical manifestation. In terms of the level of mononuclear cell infiltration in the residual rectal mucosa, four cases (4/12,33.3%) were downregulated, seven cases (7/12,58.3%) were stable, and one case (1/12, 8.3%)was upregulated in the pathological stage, compared with that in the rectal mucosa of the IACA operation samples. From these results, most of the postoperative UC patients might have prolonged inflammation in the form of mononuclear infiltration.

The reproducible grading scale used for histological assessment was previously reported by Geboes *et al.*<sup>12)</sup> and Riley *et al.*<sup>13)</sup>. The Geobes scale is comprised of seven different parameters: 1) architectural distortion, 2) density of the mononuclear infiltrate, 3) density of eosinophils in the lamina propria, 4) density of neutrophils in the lamina propria, 5) presence of neutrophils in the crypts, 6) crypt destruction, and 7) mucosal damage. The Geboes score ranges from 0 (normal mucosa) to 5.4 (severe inflammation, including ulcers and granulated tissue). The original Riley scale consists of six histological features scored on a four-point scale: 1) acute inflammatory cell infiltrates, 2) crypt abscess, 3) mucin depletion, 4) surface epithelial integrity, 5) chronic inflammatory cell infiltrate, and 6) crypt architectural irregularities. These histologic and endoscopic scores were correlated with each other for the assessment of UC activity by Bart L et al. 14), and architectural distortion and the density of the mononuclear infiltrate were observed in the low grade of a Mayo endoscopic score. Therefore, mononuclear infiltration can become a strong indicator for the low Mayo endoscopic score in their data. In the present study, we analyzed asymptomatic UC patients equivalent to a Mayo endoscopic score of 0 or 1. Therefore, we focused on the mononuclear infiltration after we assessed the degree of inflammation in the residual rectal mucosa.

Here we report that there was no dysplasia in the residual rectal mucosa and the rectal mucosa of the surgical specimens. A strong and diffuse expression of p53 was not observed in the residual rectal mucosa or the rectal mucosa of the surgical specimens. Many cases that were assessed >10 years after IACA were included in this study. Therefore, these findings indicate that there is a possibility of prolonged inflammation in asymptomatic patients following IACA. Severity of inflammation is a risk factor for colorectal neoplasia in ulcerative colitis<sup>15)</sup>. It is demanded that we have to perform a biopsy of the residual rectal mucosa in an occasion for patients without clinical manifestations. We speculate that the residual rectal mucosa is reduced following the IACA operation, providing a potential reason why there were no symptoms even though prolonged inflammation was present.

There are some limitations of the current study. There were very few medical cases analyzed in this study. Therefore, we could not perform a statistical analysis and indicated the trends for the natural course only in UC patients. In the future, this study should be performed with a larger number of cases. This study analyzed several different parameters, but the methods consisted of practical measurements. Thus, parameter measurement of inflammation in asymptomatic UC patients is required for surveillance in the future.

Our study serves as a reference for the degree of inflammation in the residual rectal mucosa of postoperative UC patients without symptoms. Therefore, it is possible to identify individuals with prolonged inflammation in postoperative asymptomatic UC patients.

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