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**Novel method of assessing ascending aorta with a stenotic bicuspid  
aortic valve**

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## **Abstract**

**Background and aim of the study:** Patients with bicuspid aortic valve (BAV) have an increased risk of serious aortic complications such as aortic dissection, rupture and dilatation of the ascending aorta. Previous findings have suggested that ascending aortic dilatation with a BAV has a typical asymmetric configuration at the right-anterior aspect of the aorta. The study aim was to quantify asymmetric configurations of the aorta using a three-dimensional (3D) reconstruction tool.

**Methods:** A retrospective review was conducted of 52 patients (27 males, 25 females; mean age  $69 \pm 9$  years) with aortic stenosis who presented with ascending aortic dilatation defined as an aortic diameter  $>35$  mm. Of these patients, 24 (46%) had a BAV and 28 (54%) had a tricuspid aortic valve (TAV). A patient-specific 3D thoracic aortic model was reconstructed from computed tomography (CT) data. Three-dimensional centerlines were automatically calculated. The size of the ascending aorta was determined by calculating the cross-sectional area (in  $\text{mm}^2$ ) of the vertical section against the centerline. The symmetry of the dilated aorta was evaluated as the ellipticity of the maximum vertical section of the ascending aorta. The size and symmetry of the ascending aorta, and background factors including pressure gradient, aortic valve area, degree of regurgitation, ejection fraction and cardiovascular risk factors, were compared between the BAV and TAV groups.

**Results:** Only age differed significantly between the groups ( $p = 0.003$ ). The size and ellipticity of the ascending aorta and the maximum cross-sectional area of the aortic arch were significantly greater in the BAV group ( $p = 0.001$  and  $p = 0.004$ , respectively).

**Conclusion:** The ascending aorta assessed using Mimics 3D reconstruction software was frequently asymmetrically dilated in stenotic BAV, and the expansion progressed to the aortic arch. It is believed that calculating the ellipticity of the vertical section against the centerline offers an innovative means of quantifying aortic symmetry in three dimensions.

Bicuspid aortic valve (BAV) is a common congenital heart malformation that occurs in 0.5% to 2% of the population (1,2). Patients with a BAV are at increased risk of developing serious aortic complications, including aortic dilatation, dissection and rupture after reaching adulthood (2-4). Aortic complications (aortopathy) are associated in 10% - 35% of cases, and aortic dissection occurs in about 4% of patients with BAV (3). Patients with BAV and a dilated aorta have a nine-fold increased risk of aortic dissection (5). Histological examinations of aortas with a BAV frequently demonstrate cystic medial degeneration, a characteristic histopathological defect of the aortic media (3,5).

Ascending aortic dilatation associated with BAV sometimes progresses even after aortic valve replacement (AVR). Yasuda et al. (6) reported that the ascending aortic diameter increased at an annual rate of  $0.18 \pm 0.08$  mm/m<sup>2</sup> after AVR. Aortic dilatation has a typical asymmetric configuration at the right-anterior aspect of the aorta (7). Valve-related hemodynamic abnormalities might lead to the asymmetric distribution of wall shear stress, resulting in asymmetric aneurysmal formation (8,9).

Although patients with a BAV require regular monitoring to prevent fatal cardiovascular events, three-dimensional (3D) deformation of the aorta is difficult to quantify in routine computed tomography (CT) images. Hence, it was postulated that asymmetry of the ascending aorta and dilatation of the transverse aortic arch would be more significant in patients with BAV than with

TAV. This hypothesis was tested by comparing the 3D parameters of aortic dilatation in patients with stenotic BAV and TAV.

## **Clinical material and methods**

### **Patient selection**

A retrospective review was conducted of data derived from 52 patients (27 male, 25 female; mean age  $69 \pm 9$  years; range: 44 to 81 years) with aortic stenosis (AS) who presented with ascending aortic dilatation defined as an aortic diameter  $> 35$  mm. All patients underwent AVR at the authors' department between January 2002 and December 2012. Twenty-five (48%) of the patients were treated only by AVR, 27 (52%) were treated by concomitant surgeries that included graft replacement of the ascending aorta ( $n = 16$ ), coronary artery bypass grafting ( $n = 5$ ), mitral valve surgery ( $n = 3$ ), reduction aortoplasty ( $n = 3$ ), annuloplasty of the tricuspid valve ( $n = 2$ ), and the maze procedure ( $n = 2$ ) (single concomitant,  $n = 23$ ; double concomitant,  $n = 4$ ). Patients with infective endocarditis and suboptimal CT images for the reconstruction of 3D models using Mimics software were excluded from the study. Data were compared from 24 patients with BAV and 28 with TAV. The morphological type of BAV was defined according to the Sievers' classification. The cusp configuration was type 1 (R/L) in eight patients (33%), type 1 (R/N) in four patients (17%), type 1 (L/N) in three (13%), type 0 (ap) in eight (33%), and type

0 (lat) cusp fusion in one patient (4%) (Fig. 1).

The following parameters were compared to evaluate morphological changes of the aorta: size of the thoracic aorta; symmetry of the ascending aorta; transvalvular pressure gradient (mmHg) of the aortic valve; area of the aortic valve (cm<sup>2</sup>); degree of aortic regurgitation; left ventricular ejection fraction (%); body surface area (m<sup>2</sup>); and cardiovascular risk factors including age, gender, smoking history, hypertension, diabetes and dyslipidemia (defined as serum total cholesterol > 219 mg/dl).

### **Imaging protocols and 3D reconstruction**

The thoracic section of the aorta was reconstructed from axial CT data converted into DICOM (Digital Imaging and Communications in Medicine) files. All 52 patients scheduled for cardiovascular surgery were preoperatively assessed by CT using a whole body spiral CT scanner (model TSX-310 B; Toshiba Medical Systems, Tochigi, Japan) according to standard procedures in the authors' department or affiliated hospitals. All CT slices measured 512 × 512 pixels, and the mean pixel size was 0.63 ± 0.083 mm. The patients were injected with 60-100 ml of Iopamiron contrast material (Bayer Healthcare, Leverkusen, Germany) at a rate of 2.0-3.5 ml/s. Images were acquired during a single sustained breath-hold by the patient to reduce respiratory-induced motion and associated artifacts. The slice thickness was between 1 and 5 mm. Images > 1 mm thick were further sliced to 1 mm using the image processing tools

provided with Mimics software.

The DICOM data of CT scans were imported into the software Mimics v16 (Materialise, Belgium) to reconstruct patient-specific 3D models of the thoracic aorta using the algorithm introduced by Doyle et al. (10). The region of interest on CT images was determined and a thresholding technique was applied to form rudimentary segmentation. The new 3D masks were manually edited using the tools provided with Mimics to smooth the surface and remove any non-physiological bulges (Fig. 2).

### **Measurement techniques**

The following parameters were measured on the 3D reconstructed models in Mimics: 3D centerlines, and the size, symmetry and ellipticity of the aorta. Three-dimensional centerlines, equivalent to the central axis of the aorta, were automatically calculated. Aortic size was determined by calculating the cross-sectional area (in mm<sup>2</sup>) of the maximum vertical cross-section against the centerline (Fig.3). The symmetry of the dilated aorta was evaluated as the ellipticity of the maximum vertical section of the ascending aorta calculated using the formula:

$$\text{Ellipticity (E)} = \sqrt{1 - \left(\frac{R2}{R1}\right)^2}$$

Where R1 is the long axial radius and R2 is the short axial radius.

It was expected that, in symmetrical dilated aorta, R1 and R2 would be close to equal and the

ellipticity would be zero. On the other hand, in asymmetrical dilated aorta, the ratio of R1 and R2 was expected to be larger because the vertical cross-section of asymmetric aorta was more distorted (Fig. 4).

### **Statistical Analysis**

All data were statistically analyzed using SPSS software (version 20; SPSS, Inc., Chicago, IL, USA). Results were expressed as absolute numbers and ratios (%) or as means  $\pm$  SD. Categorical data between the two groups were compared using Person's chi-squared test. Continuous numeric variables including age, pressure gradient, aortic valve area, ejection fraction, aortic cross-sectional area and aortic ellipticity were compared using the Mann-Whitney *U*-test. A *p*-value  $< 0.05$  was considered to be statistically significant.

## **Results**

### **Patient characteristics**

The characteristics of all patients are listed in Table I. Age differed significantly between the BAV and TAV groups ( $64 \pm 11$  versus  $73 \pm 5$  years; *p* = 0.003), whereas no inter-group differences were noted in pressure gradient, aortic valve area, concomitant AR, ejection fraction, body surface area, and other cardiovascular risk factors.

### **Aortic cross-sectional areas and ellipticity of the ascending aorta**

The aortic cross-sectional areas and ellipticity of the maximum vertical section of the



ascending aorta are listed in Table II . In the BAV and TAV groups, the ascending aorta area was significantly larger ( $1540.9 \pm 375.2$  and  $1226.8 \pm 309.8$  mm<sup>2</sup>, respectively;  $p = 0.001$ ) and significantly more elliptical ( $0.36 \pm 0.094$  and  $0.26 \pm 0.076$ , respectively;  $p = 0.001$ ) in BAV patients (Fig.5). The maximum cross-sectional area of the proximal aortic transverse arch was also significantly larger in the BAV group ( $1150.5 \pm 333.2$  versus  $940.0 \pm 207.8$  mm<sup>2</sup>;  $p = 0.004$ ). These findings showed that patients with BAV had a more asymmetrically dilated ascending aorta, and that such expansion frequently progressed to the proximal aortic arch.

## **Discussion**

### **Quantitative assessment of 3D aortic configuration**

The 3D configuration of the aorta is difficult to quantify conventionally, mostly because of the 3D complexity of the aortic configuration. As the aortic cross-sections visualized in conventional axial CT images are not vertical to the central axis of the aorta, the measured values included in the axial CT data do not always accurately represent the aortic status. In addition, results include errors due to analog data manipulations. Some reports have described how to evaluate aortic symmetry. For example, Lu et al. (7) quantified ascending aortic symmetry by calculating the ratio of the greater to the lesser ascending aortic curvature on the candy-cane sagittal view in 3D CT images. Doyle et al. (11) evaluated the symmetry of abdominal aortic aneurysm (AAA) using a 3D reconstruction tool, which allows the automated

calculation of the 3D centerline of the aorta. These authors quantified abdominal aortic symmetry by measuring the perpendicular distance from the proximal and distal points of the centerline to a defined point on the centerline (11).

In the present study the aortic configuration was also evaluated using Mimics 3D reconstruction software. The aortic size was determined by calculating the cross-sectional area of the vertical section against the centerline, and symmetry was evaluated by calculating the ellipticity of the maximum vertical section, which changes depending on the ratio of the long and the short axial radii. It is believed that this technique allows the 3D quantitation of aortic configurations. The present findings showed that ascending aortic dilatation was more asymmetric and more frequently progressed to the proximal transverse arch when associated with stenotic BAV than with TAV.

### **Asymmetric aortopathy**

Dilatation of the ascending aorta associated with BAV has a typical asymmetrical configuration at the right-anterior aspect of the aorta. In the present patients, the dilated ascending aorta was found to be significantly more asymmetrical with stenotic BAV than that with stenotic TAV. Finol et al. (12) showed that maximum wall shear stress (WSS) increases concomitantly as aneurysms become more asymmetrical. These authors showed that, by using computational AAA models, an asymmetric configuration remarkably increases the maximum

WSS at peak flow and induces secondary flow during late systole (12). When Doyle et al. (11) studied the relationship between WSS and AAA asymmetry using finite element analysis (FEA) software, they showed that AAA asymmetry, in addition to the maximum aneurysm diameter, may be a good clinical predictor of AAA rupture (11,13). It has been reported that the posterior wall tends to be the more highly stressed region and also the rupture site, even though the bulge is predominantly in the anterior region of the AAA (13,14). As the anterior region of the aneurysm bulges outwards, the posterior region is frequently constrained from radial expansion by the spinal column, and this results in increased posterior WSS (11). It has been postulated that the symmetry of the ascending aorta also is a risk factor for ascending aortic complications associated with BAV. The novel method of quantifying aortic symmetry described in the present study might reveal associations between aortic dilatation rates and the degree of asymmetry.

Previous studies have reported that asymmetric aortopathy associated with BAV mainly originates in valve-related blood flow abnormalities (8,9). It was suggested that the most prevalent flow abnormality associated with BAV is a clockwise-nested helical flow accompanied by a peripheral skewing of the jet towards the right-anterolateral aspect of the aorta (8). The right-anterior eccentric jet-stream caused asymmetrical WSS on the convexity of the aorta (9). These flow abnormalities are most remarkable in the ascending aorta, with a large proportion normalizing in the proximal descending aorta (15).

## **Aortopathy associated with BAV**

The etiology of complications of the ascending aorta associated with BAV is controversial. Two main hypotheses have been presented. One hypothesis has a genetic basis, whereby aortic wall fragility is a consequence of an intrinsic developmental abnormality. The second hypothesis is based on hemodynamics, in which aortic wall fragility is caused by abnormal systolic flow jets through an asymmetrical orifice (16). Patients with BAV have extreme degenerative changes not only in the ascending aortic media but also in the main pulmonary artery (4,17). This finding could support the genetic theory, as the ascending aorta and the pulmonary trunk have a common embryological origin (4). Previous studies have demonstrated that isolated BAV is inherited in an autosomal manner with incomplete penetrance, which also supports the genetic theory (18,19). The suggested prevalence of BAV among first-degree relatives of affected individuals is between 9% and 21% (19). A BAV is considered to be a heritable connective tissue disorder similar to Marfan syndrome, because the two conditions share common histopathological changes such as cystic medial degeneration (4). However, whilst BAV-associated aortopathy occurs mostly in the ascending aorta (20), aortic complications associated with Marfan syndrome occur in every zone of the aorta. Moreover, aortas with a BAV have an asymmetrical configuration that bulges towards the right-anterolateral aspect, where WSS should be higher (9). These findings suggest that an

underlying intrinsic medial fragility, combined with increased WSS resulting from abnormal flow jets, may precipitate BAV-associated aortopathy.

### **Study limitations**

The main limitation was the small number of patients, due partly to the strict patient exclusion criteria. For example, some patients with suboptimal CT images for the reconstruction of reliable 3D models, the quality of which depends on the underlying CT data, were excluded. Nonetheless, it is believed that the study findings were meaningful because of these strict criteria. A second point was that the study samples were limited to patients with AS who presented with ascending aortic dilatation, and only the degree of asymmetry of the dilated aorta associated with stenotic BAV was analyzed.

*In conclusion*, the present study provided the first description of the quantification of the 3D configuration of the aorta using Mimics software. It is believed that calculating the ellipticity of the vertical section offers an innovative approach to quantifying the 3D symmetry of the aorta. Ascending aortic dilatation associated with stenotic BAV is asymmetrical, and more frequently progresses to the proximal transverse arch compared with that in TAV. Consequently, in some cases it may be best to consider graft replacement of the proximal transverse arch with an open distal anastomosis technique when operating on BAV patients with ascending aortic dilatation, in order to achieve complete removal of the aneurysm.

## **Acknowledgements**

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## Tables

*Table I: Preoperative characteristics of the patients.*

	BAV (n = 24)	TAV (n = 28)	p-value
Age (years)*	64 ± 11	73 ± 5	0.003
Gender ratio (M/F)	12 / 12	15 / 13	0.797
BSA (m <sup>2</sup> )	1.64 ± 0.18	1.55 ± 0.15	0.093
Smoking	10 (42)	10 (36)	0.777
Hypertension	12 (50)	19 (26)	0.259
Diabetes	3 (12)	7 (25)	0.309
Dyslipidemia	4 (17)	7 (25)	0.516
Max PG (mmHg)*	76 ± 22.6	78.1 ± 29.4	0.868
AVA (cm <sup>2</sup> )*	0.78 ± 0.39	0.73 ± 0.23	0.909
LVEF (%)*	61.9 ± 11.9	62.4 ± 11.6	0.818
Concomitant AR (moderate-severe)	10 (41.7)	10 (35.7)	0.777

\*Values are mean ± SD. Values in parentheses are percentages.

AR: Aortic regurgitation; AVA: Aortic valve area; BAV: Bicuspid aortic valve; BSA: Body surface area; LVEF: Left ventricular ejection fraction; PG: Trans-valvular pressure gradient; TAV: Tricuspid aortic valve.

*Table II: Cross-sectional area and ellipticity of vertical cross-sections in patients with bicuspid aortic valve (BAV) and tricuspid aortic valve (TAV).*

Parameter	BAV (n = 24)	TAV (n = 28)	p-value
Aortic cross-sectional area (mm <sup>2</sup> )			
Ascending aorta	1540.9 ± 375.2	1226.8 ± 309.8	0.001
Transverse arch (proximal)	1150.5 ± 333.2	940 ± 207.8	0.004
Descending aorta	517.7 ± 111.6	545.3 ± 134.5	0.912
Ellipticity of cross-section	0.36 ± 0.094	0.26 ± 0.076	0.001

Values are mean ± SD.

Ascending aortic size, ellipticity and maximum cross-sectional area of proximal transverse arch were greater in patients with BAV than TAV (p = 0.001, p = 0.001 and p = 0.004, respectively).



**Figures**

Type 1			Type 0	
R/L	R/N	L/N	ap	lat
8 (33%)	4 (17%)	3 (13%)	8 (33%)	1 (4%)

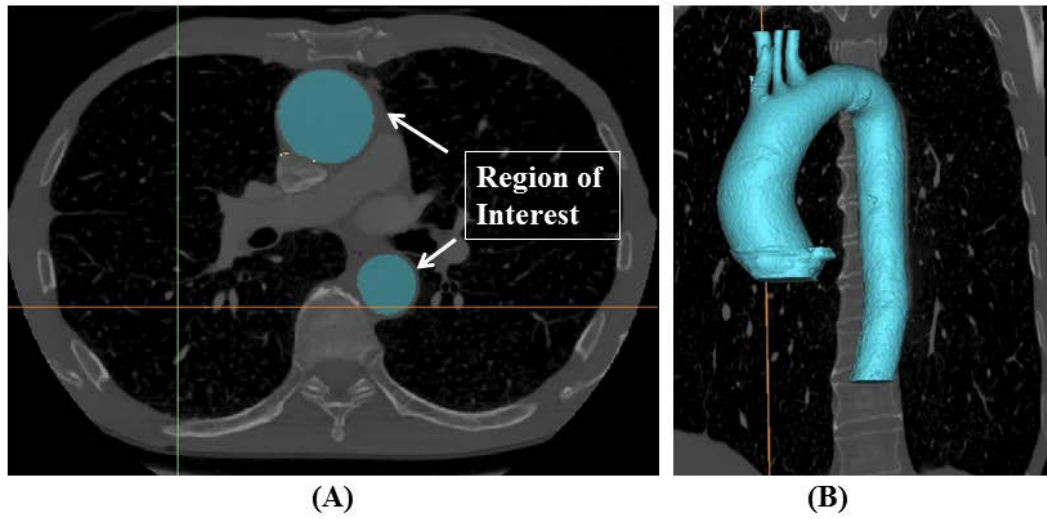
***Figure 1: Sievers' classification.***

Among patients with BAV, eight had right and left coronary cusp fusion. Configuration was type

1 (R/N) in four patients, type 1 (L/N) in three, type 0 (ap) in eight, and type 0 (lat) in one patient.

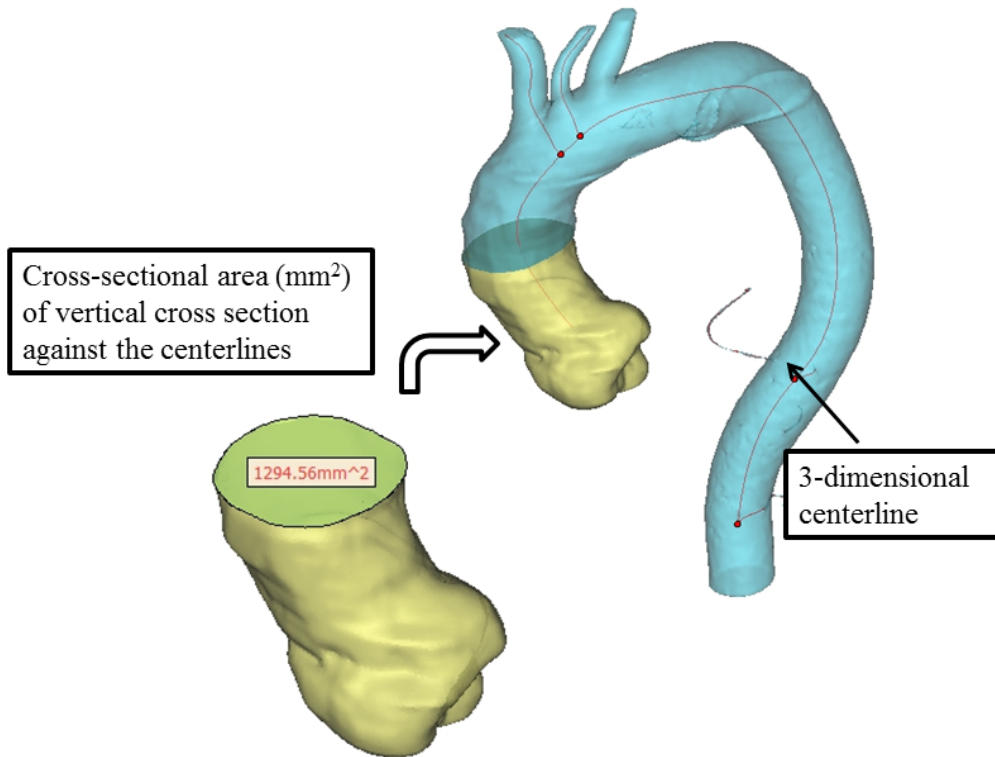
Filled ellipses indicate the coronary artery orifice; the broken line indicates the raphe. L: Left

coronary cusp; N: Non-coronary cusp; R: Right coronary cusp



*Figure 2: Region of interest (A) and 3D reconstruction of regions (B).*

DICOM data from CT images were imported into Mimics software. Regions of interest on CT images were selected and reconstructed in three dimensions.

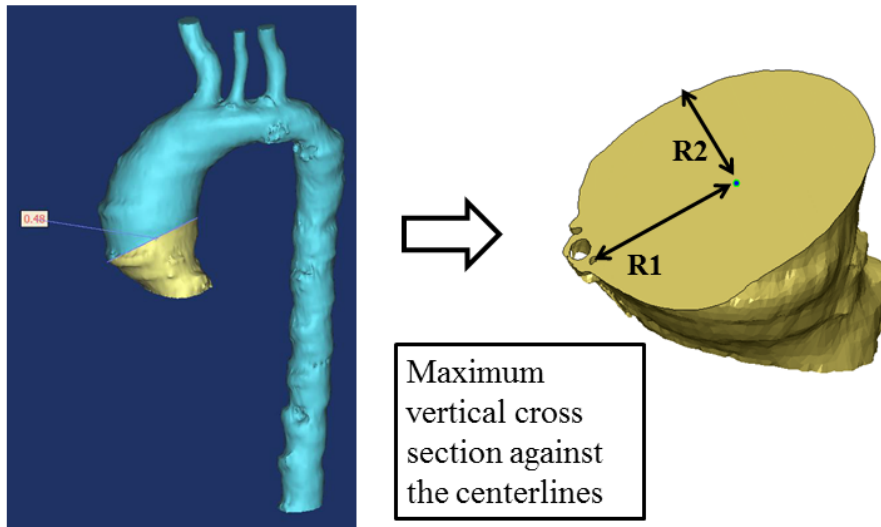


*Figure 3: Assessment of aortic size.*

The size of the thoracic aorta was evaluated by calculating the cross-sectional area of the vertical cross-section against the 3D centerlines.

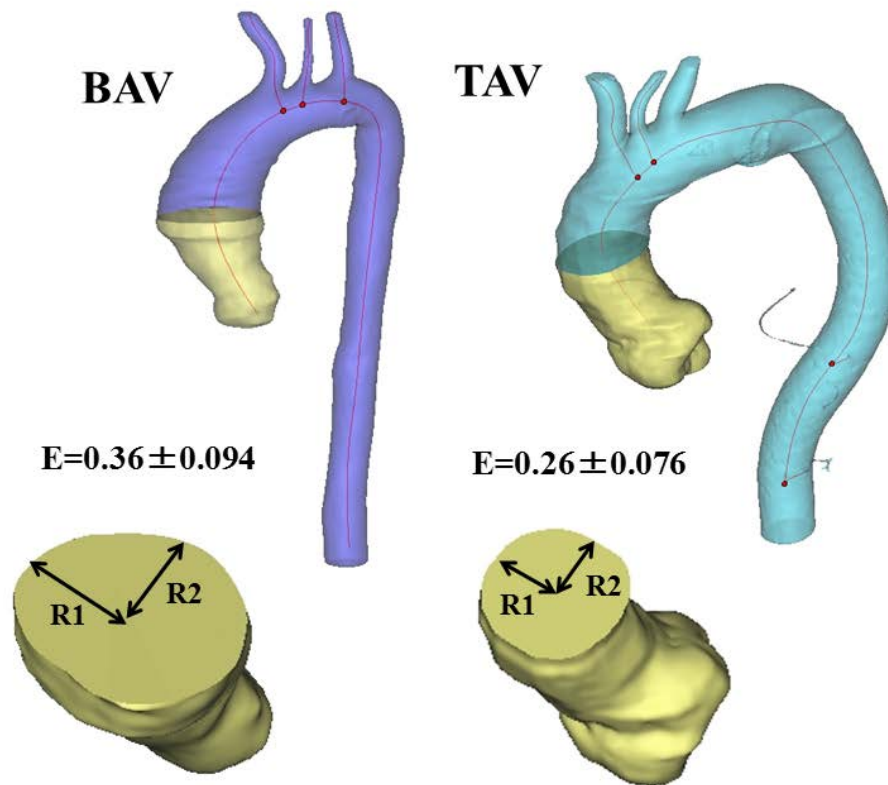
$$\text{Ellipticity (E)} = \sqrt{1 - \left(\frac{R2}{R1}\right)^2}$$

R1: long axial radius  
R2: short axial radius



*Figure 4: Assessment of symmetry of the ascending aorta.*

This was evaluated by the ellipticity of maximum vertical section of the ascending aorta.



*Figure 5: Symmetry of the ascending aorta.*

The ellipticity was significantly larger in the bicuspid group, suggesting that patients with a stenotic BAV had a more asymmetrical, dilated ascending aorta.

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