

## On finite Dickson near fields

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この論文は H. Zassenhaus による finite Dickson near fields の自己同形  
決定の証明の簡易化である。

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# On Finite Dickson Near Fields

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Finite fields are very useful not only in pure mathematics but also in technology. For example, coding theory, block design, linear modular system, etc. We have a natural question: *Are there algebraic systems like finite fields ?*

Wedderburn proved that there are no finite non-commutative fields, namely, finite division rings are fields. On the other hand, Dickson found some near fields named Dickson near fields, namely, a class of non-commutative fields without one side distributive law.

Finite near fields was classified by H. Zassenhaus (see [1] or [2]). In fact, these are Dickson near fields and 7 exceptional near fields.

In this paper, we shall give mainly improvements of his proof to automorphisms of finite Dickson near fields (see [2]).

## 1. Dickson pairs

The next lemma was found in the text book of elementary number theory.

**Lemma 1.** *Let  $a > 1$  be a natural number, let  $p$  be a prime and  $a \equiv 1 \pmod{p}$ .*

(1) *If  $p \neq 2$ , then  $p \parallel \frac{a^p-1}{a-1}$*

(2) *If  $p=2$  and  $a \equiv 1 \pmod{4}$  then  $2 \parallel \frac{a^p-1}{a-1}$*

(3) *If  $p=2$  and  $a \equiv 3 \pmod{4}$  then  $4 \mid \frac{a^p-1}{a-1}$*

*Proof.* We can set  $a=pk+1$ . In case (1),

$$m = \frac{a^p-1}{a-1} = \sum_{\ell=1}^p \binom{p}{\ell} (pk)^{\ell-1} \equiv p \not\equiv 0 \pmod{p^2} \text{ and } m \equiv 0 \pmod{p}.$$

In case (2),  $2 \parallel a+1$ . In case (3),  $4 \mid a+1$ .

A Dickson pair is a foundation of finite Dickson near fields.

**Definition.** A Dickson pair ( $D$ -pair)  $(q, n)$  is consist of two natural numbers  $q$  and  $n$  satisfying the next conditions

- (1)  $q=p^s$  where  $p$  is prime.
- (2) every prime factor of  $n$  is a divisor of  $q-1$ .
- (3) If  $q \equiv 3 \pmod{4}$  then  $n \not\equiv 0 \pmod{4}$

It is easy to see that if  $(q, n)$  is a  $D$ -pair and  $m$  is a divisor of  $n$ , then  $(q, m)$  is a  $D$ -pair. We

shall denote by  $|a|_n$  the order of  $a$  mod  $n$

The following theorem is fundamental to construct finite Dickson near fields.

**Theorem 1.** *Let  $q$  be a power of a prime and  $n > 1$ . The following are equivalent.*

- (1)  $(q, n)$  is a  $D$ -pair
- (2)  $\frac{q^n-1}{q-1} \equiv 0 \pmod n$  and  $\frac{q^k-1}{q-1} \not\equiv 0 \pmod n$  for  $1 \leq k < n$
- (3)  $n = |q|_{n(q-1)}$
- (4) The next map  $\nu$  of  $\mathbb{Z}/n\mathbb{Z}$  is injective.

$$\nu : \ell \pmod n \rightarrow \frac{q^\ell-1}{q-1} \pmod n$$

*Proof.* (1)  $\Rightarrow$  (2): We set  $m = s^e \ell$  where  $s$  is a prime,  $q \equiv 1 \pmod s$  and  $(\ell, s) = 1$ . Then we can see from the next two equations and Lemma 1 that  $s^e \parallel \frac{q^m-1}{q-1}$ , in case  $s$  is odd or in case  $s = 2$  and  $q \equiv 1 \pmod 4$ , and  $s^{e+1} \mid \frac{q^m-1}{q-1}$  in case  $s = 2$  and  $q \equiv -1 \pmod 4$ .

$$\frac{q^\ell-1}{q-1} = q^{\ell-1} + \dots + q + 1 \equiv \ell \not\equiv 0 \pmod s$$

$$\frac{q^m-1}{q-1} = \frac{q^\ell-1}{q-1} \cdot \frac{q^{\ell s}-1}{q^\ell-1} \cdot \dots \cdot \frac{q^{\ell s^e}-1}{q^{\ell s^{e-1}}-1}$$

Thus setting  $n = m$ , we can see  $\frac{q^n-1}{q-1} \equiv 0 \pmod n$  by using the only conditions (1) and (2) in the definition of a  $D$ -pair.

Assume  $\frac{q^m-1}{q-1} \equiv 0 \pmod n$  and  $s^f \parallel n$  with  $f \geq 1$ . Then  $f \leq e$  in case  $s$  is odd or in case  $s = 2$  and  $q \equiv 1 \pmod 4$ . In case  $s = 2$  and  $q \equiv 3 \pmod 4$ , we have  $f = 1$  by the condition (3) in the definition of a  $D$ -pair, and  $m$  is even by the next equation.

$$0 \equiv \frac{q^m-1}{q-1} = q^{m-1} + \dots + q + 1 \equiv m \pmod 2.$$

Thus we obtain  $n$  is a divisor of both  $m$  and  $\frac{q^n-1}{q-1}$ .

(2)  $\Rightarrow$  (1): We can write  $n = n_0 n_1$  where every prime divisor of  $n_1$  divides  $q-1$  and  $(n_0, q-1) = 1$ . Since  $(q, n_1)$  satisfies the conditions (1) and (2) in the definition of a  $D$ -pair, using the above, we obtain  $q_1 = q^{n_1} \equiv 1 \pmod{n_1(q-1)}$ .

Assume  $q_1^s \equiv 1 \pmod{n_0}$ . Then  $q^{n_1 s} \equiv 1 \pmod{n_0}$  and  $q^{n_1 s} \equiv 1 \pmod{n_1(q-1)}$ . Thus  $q^{n_1 s} \equiv 1 \pmod{n(q-1)}$  by  $(n_0, n_1(q-1)) = 1$ . By the assumption,  $n_0 n_1 = n \leq n_1 s$  and so  $n_0 \leq s$ . Hence  $n_0$  is the order of  $q_1 \pmod{n_0}$  by  $q_1^{n_0} = q^n \equiv 1 \pmod{n_0}$ . Thus  $n_0$  is a divisor of  $\varphi(n_0)$  by the Euler's theorem. This means  $n_0 = 1$  and every prime divisor of  $n = n_1$  divides  $q-1$ .

Assume that  $q \equiv 3 \pmod 4$  and  $n = 2^e \ell$  where  $e \geq 2$  and  $\ell$  is odd. Since  $(q, \ell)$  is a  $D$ -pair, we have  $\frac{q^\ell-1}{q-1} \equiv 0 \pmod \ell$ . Thus

$$\frac{q^{n^2}-1}{q-1} = \frac{q^{n^2}-1}{q^\ell-1} \cdot \frac{q^\ell-1}{q-1} \equiv 0 \pmod \ell.$$

On the other hand, we have  $\frac{q^{n^2}-1}{q-1} \equiv 0 \pmod{2^e}$  from the equations

$$\frac{q^{n^2}-1}{q-1} = \frac{q^\ell-1}{q-1} \cdot \frac{q^{2\ell}-1}{q^\ell-1} \cdot \dots \cdot \frac{q^{2^{e-1}\ell}-1}{q^{2^{e-2}\ell}-1} \text{ and } \frac{q^{2\ell}-1}{q^\ell-1} \equiv 0 \pmod 4.$$

Thus we obtain the next contradiction and so  $e \leq 1$ .

$$\frac{q^{n/2} - 1}{q - 1} \equiv 0 \pmod{n}$$

(2)  $\Leftrightarrow$  (3) and (2)  $\Leftrightarrow$  (4) are easy to prove.

The next needs later for automorphisms of finite Dickson near fields.

**Proposition 1.** *Let  $(q, n)$  be a  $D$ -pair. We set  $m = \frac{q^n - 1}{n}$  and  $t = \frac{m}{q - 1}$ . Then*

$$1. (n, t) = \begin{cases} 2 & \text{in case } q \equiv -1 \pmod{4} \text{ and } n = 2n_0 \text{ where } n_0 \text{ is odd} \\ 1 & \text{in another case} \end{cases}$$

$$2. n = |q|_t \text{ if } (q, n) \neq (3, 2)$$

*Proof.* We may assume  $q \geq 3$  since  $(q, n)$  is a  $D$ -pair. We set  $n = r^n_0$  where  $r$  is prime,  $(n_0, r) = 1$  and  $e \geq 1$ .

*Proof of 1:* Assume  $r$  is odd. Then we have

$$r^e \parallel \frac{q^n - 1}{q - 1}$$

Thus  $t$  is not divided by  $r$ .

Assume  $r = 2$ .

In case  $q \equiv 1 \pmod{4}$ ,

$$2^e \parallel \frac{q^n - 1}{q - 1}$$

Thus  $t$  is odd.

In case  $q \equiv -1 \pmod{4}$  and  $n = 2n_0$ , where  $n_0$  is odd, we have  $q^{n_0} \equiv -1 \pmod{4}$  and so

$$4 \mid (q^{n_0} + 1) \cdot \frac{q^{n_0} - 1}{q - 1} = \frac{q^n - 1}{q - 1}$$

This means  $t$  is even.

*Proof of 2:* Next we shall prove  $n = |q|_t$  if  $(q, n) \neq (3, 2)$ . Noting that  $q^n \equiv 1 \pmod{t}$ , we can set  $n = sk$ ,  $k \geq 2$  for  $s = |q|_t$ . Since  $q^s \equiv 1 \pmod{t}$ , we have

$$n(q^s - 1) = \ell \cdot \frac{q^n - 1}{q - 1}$$

for some  $\ell$ . Assume  $\ell \geq 2$ . Then we have a contradiction  $s > 3^{s-1}$  from the next.

$$s \geq \frac{2}{k(q-1)} \cdot \frac{(q^s)^k - 1}{q^s - 1} > \frac{2}{kq} ((q^s)^{k-1} + \dots + q^s + 1) > \frac{2(k-1)}{k} q^{s-1} \geq 3^{s-1}$$

Hence  $\ell = 1$  and

$$n = \frac{q^n - 1}{(q^s - 1)(q - 1)}$$

We set  $r^e \parallel n$ . In case  $r$  is odd or in case  $r = 2$  and  $q \equiv 1 \pmod{4}$ , we have  $r^e \parallel \frac{q^n - 1}{q - 1}$  contrary to  $r^{e+1} \mid n(q^s - 1) = \frac{q^n - 1}{q - 1}$ . Thus  $q \equiv 3 \pmod{4}$  and so  $n = 2$  since  $(q, n)$  is a  $D$ -pair. Therefore  $2(q - 1) = \frac{q^2 - 1}{q - 1}$  and so  $q = 3$ .

## 2. Dickson near fields

We shall give the definition of finite Dickson near fields in the next theorem.

**Theorem 2.** *Let  $(q, n)$  be a  $D$ -pair, let  $F_q$  be a finite field of order  $q^n$ , let  $\rho : x \rightarrow x^q$  be an automorphism of  $F_q$  and let  $\omega$  be a generator of the multiplicative group  $F_q^*$ . We define  $\rho_a = \rho^s$  for  $a \in F_q^*$ , where  $\frac{q^s-1}{q-1} \equiv s \pmod n$  for  $a = \omega^s$ . Then we can construct a Dickson near field  $D_q$  by the sum and the next new product  $\circ$  in  $F_q$ . For  $a, b \in F_q$ ,*

$$a \circ b = \begin{cases} a \rho_a(b) & \text{for } a \neq 0 \\ 0 & \text{for } a = 0 \end{cases}$$

*Proof.* First, we shall prove

$$\rho_{a \circ b} = \rho_a \rho_b \text{ for } a, b \in F_q^*.$$

We set  $a = \omega^s$ ,  $b = \omega^t$  and  $\frac{q^s-1}{q-1} \equiv s \pmod n$ ,  $\frac{q^t-1}{q-1} \equiv t \pmod n$ . Then we have

$$s + tq^s \equiv \frac{q^s-1}{q-1} + \frac{q^t-1}{q-1} q^s = \frac{q^{s+t}-1}{q-1} \pmod n$$

Thus we obtain  $\rho_{a \circ b} = \rho_a \rho_b$  from the next equation.

$$\rho_{a \circ b} = \rho_{a \rho_a(b)} = \rho_{ab^{q^s}} = \rho_{\omega^{s+tq^s}} = \rho^{s+tq^s} = \rho_a \rho_b$$

For  $a, b \in D_q^*$ , we can see

$$(a \circ b) \circ c = (a \circ b) \rho_{a \circ b}(c) = (a \rho_a(b)) \rho_a(\rho_b(c)) = a \rho_a(b \rho_b(c)) = a \circ (b \circ c)$$

It is easy to prove the associative law for  $a=0$  or  $b=0$ .

For  $a \in D_q^*$ , we can see

$$a \circ (b+c) = a \rho_a(b+c) = a(\rho_a(b) + \rho_a(c)) = a \rho_a(b) + a \rho_a(c) = a \circ b + a \circ c$$

It is easy to prove the left distributive law for  $a=0$ . We can see also

$$1 \circ a = a \circ 1 = a \text{ for } a \in D_q.$$

Thus  $D_q$  is a finite near ring with the identity 1.

On the other hand  $a \circ b = a \rho_a(b) \neq 0$  for  $a \neq 0$  and  $b \neq 0$ .

It is easy to prove  $D_q^*$  is a group and  $D_q$  is a near field.

The next lemma is very useful.

**Lemma 2.** *Let  $p$  be prime, let  $q = p^f$  and let  $\omega$  be a generator of the multiplicative group  $F_q^*$ . Then  $F_q = F_p(\omega^n)$ .*

*Proof.* Let  $p^f$  be the order of  $F_p(\omega^n)$ . Then  $f$  is a divisor of  $\ell n$ . Since  $F_p(\omega^n)$  contains  $\omega^n$ ,  $\omega^{n(p^f-1)} = 1$ . Hence  $p^{fn} - 1$  is a divisor of  $n(p^f - 1)$  and so

$$n(p^f - 1) \geq p^{\ell n} - 1 = (p^{(\ell n)/2} + 1)(p^{(\ell n)/2} - 1) > n(p^{(\ell n)/2} - 1)$$

Thus  $p^f > p^{(\ell n)/2}$  and  $2 > \frac{\ell n}{f}$ . Hence  $f = \ell n$ .

The center of  $D_q$  is determined by the above lemma.

**Proposition 2.**  $F_q$  is the center of  $D_q$ .

*Proof.* Let  $\zeta$  be an element in the center of  $D_q$ . Then

$$\zeta \rho_\zeta(\omega^n) = \zeta \circ \omega^n = \omega^n \circ \zeta = \omega^n \rho_{\omega^n}(\zeta) = \omega^n \zeta.$$

Thus  $\rho_\zeta(\omega^n) = \omega^n$ . By Lemma 2,  $D_q = F_p(\omega^n)$  and  $\rho_\zeta = 1$ . Hence we obtain

$$\zeta_\omega = \zeta \rho_\zeta(\omega) = \zeta \circ \omega = \omega \circ \zeta = \omega \rho_\omega(\zeta) = \omega \rho(\zeta) = \omega \zeta^q$$

Hence  $\zeta \in F_q$ . On the other hand let  $\alpha \in F_q^* = \langle \omega^{\frac{q^*-1}{q-1}} \rangle$ . Then  $\alpha = \omega^{\frac{q^*-1}{q-1}k}$  and so  $\rho_\alpha = 1$ . Thus we obtain for  $b = \omega^r$ ,

$$b \circ \alpha = b \rho_b(\alpha) = b \rho^r(\alpha) = b \alpha^q = \alpha b = \alpha \rho_\alpha(b) = \alpha \circ b.$$

It is easy to see  $\alpha \circ 0 = 0 = 0 \circ \alpha$ . Therefore we have the conclusion.

### 3. Near fields and Sharply 2-transitive groups

In this section, finite near fields are nothing but sharply 2-transitive groups on a finite sets.

Let  $K$  be a near field, and let  $G = \{x \rightarrow ax + b \mid a \in K^*, b \in K\}$ . Then  $G$  is a permutation group on  $K$ . Let  $u_a, v_a$  be elements in  $G$  such that

$$u_a : x \rightarrow x + a, v_a : x \rightarrow ax$$

Then  $\varepsilon = u_0 = v_1 : x \rightarrow x$  is the identity map. We set the subgroups

$$U = \{u_a \mid a \in K\}, V = \{v_a \mid a \in K^*\}$$

Then  $G = UV, G \triangleright U, U \cap V = \{\varepsilon\}$ . It is easy to see that  $U$  consist of  $u_0$  and fixed point-free permutations and  $V = G_0 \neq \{\varepsilon\}$ . Since  $G$  is transitive on  $K$  and  $G_0$  is transitive on  $K^*$ , we can see  $G$  is 2-transitive on  $K$ .  $G_0 \neq \{\varepsilon\}$  and  $G_{a,b} = \{\varepsilon\}$  for  $a \neq b$ .

Conversely, we assume that  $G$  is 2-transitive on a finite set  $K = \{0, 1, \dots, n-1\}$ ,  $G_0 \neq \{\varepsilon\}$  and  $G_{a,b} = \{\varepsilon\}$  for  $a \neq b \in K$ . Then we can set a structure of a near field in a set  $K$  by the following method.

It is easy to see that for  $a \in K^* = K \setminus \{0\}$ , there exists only one  $v_a \in V = G_0$  with  $v_a(1) = a$ . We define sum and product of elements  $a, b$  in  $K$  by the above  $v_a$  and the next  $u_a$  defined in Lemma 3.

$$a + b := u_b(a), ab := v_a(b) \text{ for } a \neq 0 \text{ and } 0b := 0$$

The following lemma shows some properties of the above group  $G$ .

**Lemma 3.** *Let  $G$  be a sharply 2-transitive group on  $K = \{0, 1, \dots, n-1\}$  and let  $U$  be the set consisting of the identity and fixed point-free permutations. Then*

- (1)  $U$  is a normal subgroup of  $G$ .
- (2)  $U$  is elementary abelian.

*Proof.* (1) It is easy to see  $\rho U \rho^{-1} = U$  for all  $\rho \in G$ . First we shall prove, for  $k \in K^*$ , there exists only one  $u_k \in U$  with  $u_k(0) = k$ , equivalently, the next map  $\nu$  from  $U$  to  $K$  is bijective.

$$\nu : u \rightarrow u(0)$$

For  $\tau \in U - \{1\}$ , there exists  $\rho \in G_0$  with  $\rho(\tau(0)) = k$  since  $\tau(0) \neq 0$  and  $G_0$  is transitive on  $K^*$ . We set  $u_k = \rho \tau \rho^{-1}$ . Then  $u_k \in U$  and  $u_k(0) = k$ . Thus  $\nu$  is surjective. It follows from definition of  $G$  and  $U$  that

$$U = G - \bigcup_{a \in K} (G_a - 1), \quad (G_a - 1) \cap (G_b - 1) = \emptyset \text{ for } a \neq b$$

Using  $|G| = |G_a| |a^G| = |G_a| |K|$ , we can see  $|U| = |K|$ . Hence  $\nu$  is injective.

Assume  $\sigma \tau$  has a fixed point  $\ell$  for  $\sigma, \tau \in U$ . Then we may assume  $\ell = 0$  since  $G$  is transitive on  $K$ . Thus  $\tau = \sigma^{-1}$  follows from  $\sigma^{-1} \in U$ ,  $\tau(0) = \sigma^{-1}(0)$  and the above observation. This means  $\sigma \tau \in U$ . Hence  $U$  is a normal subgroup of  $G$ .

(2) Let  $p$  be a prime factor of  $|U|$  and let  $\tau$  be an element of order  $p$  in the center of  $p$ -Sylow subgroup of  $U$ . We set  $\sigma \in U - \{1\}$ . Then there exists  $\rho \in G_0$  with  $\rho(\tau(0)) = \sigma(0)$ . Thus  $\rho \tau \rho^{-1} = \sigma$  follows from  $\rho \tau \rho^{-1} \in U$  and  $\rho \tau \rho^{-1}(0) = \sigma(0)$ . Thus the order of every element in  $U$  is  $p$  or 1 and so  $U$  is in the center of a  $p$ -group  $U$ . Thus  $U$  is elementary abelian.

The next shows  $K$  is a near field.

**Theorem 3.**  *$K$  is a near field by the above definition of sum and product.*

*Proof.* First we shall prove the next equations:

$$u_a u_b = u_{b+a}, \quad v_a v_b = v_{ab}, \quad v_a u_b v_a^{-1} = u_{ab}$$

These follow from

$$\begin{aligned} u_a u_b(0) &= u_a(b) = b + a = u_{b+a}(0), \quad v_a v_b(1) = v_a(b) = ab = v_{ab}(1), \text{ and} \\ v_a u_b v_a^{-1}(0) &= v_a u_b(0) = v_a(b) = ab = u_{ab}(0) \end{aligned}$$

Next we shall prove the next from the first equation and  $U$  is commutative.

$$\begin{aligned} a + (b+c) &= u_{b+c}(a) = u_c u_b(a) = u_c(a+b) = (a+b) + c \\ a+b &= u_{a+b}(0) = u_b u_a(0) = u_a u_b(0) = u_a(b) = b+a \\ a+0 &= 0+a = u_a(0) = a \\ a+u_a^{-1}(0) &= u_a^{-1}(0) + a = u_a(u_a^{-1}(0)) = \varepsilon(0) = 0 \end{aligned}$$

We shall prove the next from the second equation for  $a, b \in K^*$ . For  $a=0$  or  $b=0$ , it is easy to prove our equations.

$$\begin{aligned} a(bc) &= v_a(bc) = v_a(v_b(c)) = v_a v_b(c) = v_{ab}(c) = (ab)c \\ a1 &= v_a(1) = a = \varepsilon(a) = v_1(a) = 1a \\ av_a^{-1}(1) &= v_a(v_a^{-1}(1)) = \varepsilon(1) = 1 \end{aligned}$$

For  $a \in K^*$ ,  $v_a^{-1}(1) \neq 0$  follows from  $v_a(0) = 0 \neq 1$  and we can see  $v_{v_a^{-1}(1)} = v_a^{-1}$  by  $v_{v_a^{-1}(1)}(1) = v_a^{-1}(1)$ . Thus we have

$$v_a^{-1}(1)a = v_{v_a^{-1}(1)}(a) = v_a^{-1}(a) = v_a^{-1}(v_a(1)) = 1$$

The next follows from the third equation

$$a(b+c) = v_a(b+c) = v_a(u_c(b)) = v_a u_c v_a^{-1}(v_a(b)) = u_{ac}(ab) = ab+ac$$

Thus  $K$  is a near field by our definition of sum and product.

#### 4. Structure of the multiplicative group of a Dickson near field

The next gives the structure of the multiplicative group of a Dickson near field.

**Theorem 4.** *Let  $D_q$  be a Dickson near field. Then  $D_q^*$  is meta-cyclic with generators  $b = \omega$ ,  $a = \omega^n$  satisfying the next relations in  $D_q^*$ .*

$$a^m = 1, b^n = a^t, bab^{-1} = a^q, \text{ where } m = \frac{q^n - 1}{n}, t = \frac{m}{q-1}$$

*Proof.* It follows from  $\rho_{xy} = \rho_x \rho_y$ , that  $\nu : x \rightarrow \rho_x$  is a homomorphism from  $D_q^*$  to the automorphism group of  $F_q$  over  $F_q$ . It is easy to see  $\text{Ker } \nu = \langle a \rangle$  and the factor group  $D_q^*/\text{Ker } \nu$  is generated by the class of  $\omega$ .

It is easy to see from  $a \circ x = ax$  for  $x \in D_q$  that  $m$  is the order of  $a$ . The second equation  $b^n = a^{\frac{m}{q-1}}$  follows from the next

$$\begin{aligned} b^n &= \overbrace{\omega \circ \omega \circ \dots \circ \omega}^{n \text{ times}} = a^{\frac{m}{q-1}} \in \langle a \rangle \text{ and so } b^n \circ x = b^n x \text{ for } x \in D_q. \\ ba &= \omega \circ \omega^n = \omega \rho_\omega(\omega^n) = \omega \rho(\omega^n) = \omega^{qn+1} = a^q b \end{aligned}$$

We set  $G = D_q^* = \langle a, b \mid a^m = 1, b^n = a^t, bab^{-1} = a^q \rangle$ , where  $(q, n)$  is a  $D$ -pair,  $m = \frac{q^n - 1}{n}$  and  $t = \frac{m}{q-1}$ .

The next proposition is important in Proposition 4.

**Proposition 3.** *The subgroup  $\langle a^t \rangle$  is the center of  $G$ .*

*Proof.* It follows from Proposition 2 that  $F_q^*$  is the center of  $G$ . Since the order of  $a^t$  is  $q-1$ , we have  $\langle a^t \rangle = F_q^*$ .

Let  $\bar{G} = G/\langle a^t \rangle$  and let  $\sigma$  be an automorphism of  $G$ . Then

$$\bar{G} = \langle \bar{a}, \bar{b} \mid \bar{a}^t = 1, \bar{b}^n = 1, \bar{b} \bar{a} \bar{b}^{-1} = \bar{a}^q \rangle$$



and  $\bar{\sigma}$  is an automorphism of  $\bar{G}$  defined by  $\bar{\sigma}(\bar{x}) = \overline{\sigma(x)}$  for  $x \in G$ .

The next needs for automorphisms of finite Dickson near fields

**Proposition 4.** *We set*

$$a_1 = \begin{cases} a^2 & \text{in case } q \equiv -1 \pmod{4} \text{ and } n = 2n_0 \text{ where } n_0 \text{ is odd} \\ a & \text{in another case} \end{cases}$$

Then the subgroup  $\langle a_1 \rangle$  is characteristic in  $G$ .

*Proof.* If  $(q, n) = (3, 2)$ , then  $t = 2$  and  $\langle a^2 \rangle$  is the center of  $G$ . So we may assume  $(q, n) \neq (3, 2)$ . Let  $\sigma$  be an automorphism of  $G$  and let  $\bar{\sigma}(\bar{a}) = \bar{a}^k \bar{b}^\ell$ . Then it follows from the next that  $\bar{b}^{\ell t} \in \langle \bar{a} \rangle$ .

$$1 = (\bar{a}^k \bar{b}^\ell)^t = \bar{a}^{k \frac{q^t - 1}{q - 1}} \bar{b}^{\ell t}$$

Hence  $\bar{a} = \bar{b}^{\ell t} \bar{a} \bar{b}^{-\ell t} = \bar{a}^{q^t}$ . Thus  $q^{\ell t} \equiv 1 \pmod{t}$  and hence by Proposition 1,  $t \ell \equiv 0 \pmod{n}$ .

In case  $(n, t) = 1$ , we have  $\ell = n \ell_0$ . Thus

$$\overline{\sigma(a)} = \bar{\sigma}(\bar{a}) = \bar{a}^k \bar{b}^\ell = \bar{a}^k (\bar{b}^n)^{\ell_0} = \bar{a}^k$$

Hence we have  $\sigma(a) \in \langle a \rangle$ .

In case  $(n, t) = 2$ , we have  $t_0 \ell \equiv 0 \pmod{n_0}$  and  $\ell = n_0 \ell_0$ , where  $t = 2t_0$  and  $n = 2n_0$ . Thus

$$\overline{\sigma(a^2)} = \bar{\sigma}(\bar{a}^2) = (\bar{a}^k \bar{b}^\ell)^2 = \bar{a}^{k(1+q^t)} \bar{b}^{2\ell} = \bar{a}^{k(1+q^t)} (\bar{b}^n)^{\ell_0} = \bar{a}^{k(1+q^t)}$$

Hence we have  $\sigma(a^2) \in \langle a^2 \rangle$  since  $1 + q^t$  and  $t$  are even.

## 5. Automorphisms of Dickson near fields

The next lemma needs later.

**Lemma 4.** *If  $F_q \neq F_q(a_1)$ , then  $(q, n) = (3, 2)$ .*

*Proof.* It follows from Lemma 2 and setting of  $a_1$  (see Proposition 4) that  $a_1 = a^2$ ,  $n = 2n_0$ ,  $[F_q(a_1) : F_q] = n_0$  and  $m = t(q-1)$  is even. Since  $a_1 \in F_q(a_1)$  and the order of  $a_1$  is  $m_0 = \frac{m}{2}$ , we have  $m_0$  is a divisor of  $q^{n_0} - 1$ .

$$4n_0 = 2n = (q^{n_0} + 1) \frac{q^{n_0} - 1}{m_0} \geq q^{n_0} + 1 \geq 3^{n_0} + 1$$

It follows from these inequalities that  $n_0 = 1$  and  $q = 3$ , namely,  $(q, n) = (3, 2)$ .

The following theorem characterizes automorphisms of finite Dickson near fields.

**Theorem 5.** *Assume  $(q, n) \neq (3, 2)$ . We set  $q = p^e$  where  $p$  is a prime and  $h = |p|_n$ . Let  $D_q$  be a Dickson near field and let  $\nu : x \rightarrow x^p$  be an automorphism of  $F_q$ . Then  $\sigma$  is an automorphism of  $D_q$  if and only if  $\sigma = \nu^s$  for some  $s \equiv 0 \pmod{h}$ .*

*Proof.* Let  $\sigma$  be an automorphism of  $D_q$ . We saw in Lemma 4 that  $F_q = F_p(a_1)$  where  $\omega$

is a generator of  $F_q^*$ ,  $a = \omega^n$  and  $a_1$  was defined in Proposition 4. If  $f(x)$  is the minimum polynomial of  $a_1$  over  $F_p$ , then  $f(x)$  is also the minimum polynomial of  $\sigma(a_1)$  over  $F_p$  because  $a_1, \sigma(a_1) \in \langle \omega^n \rangle$ , by Proposition 4 and so  $a_1 \circ y = a_1 y$ ,  $\sigma(a_1) \circ z = \sigma(a_1)z$ . Hence  $\sigma$  is an automorphism of  $F_q$  and  $\sigma = \nu^s$  for some  $s$ .

On the other hand, there exists  $d$  with  $\sigma(d) = c$  for an arbitrary  $c \in F_q^*$ .

$$\begin{aligned} \sigma(\omega) \rho_\omega(c) &= \sigma(\omega) \rho_\omega(\sigma(d)) = \sigma(\omega) \sigma \rho_\omega(d) = \sigma(\omega \rho_\omega(d)) \\ &= \sigma(\omega \circ d) = \sigma(\omega) \circ \sigma(d) = \sigma(\omega) \rho_{\sigma(\omega)}(\sigma(d)) \\ &= \sigma(\omega) \rho_{\sigma(\omega)}(c) \end{aligned}$$

Therefore

$$\rho_\omega = \rho_{\sigma(\omega)}$$

We set

$$\frac{q^{(p^s)'} - 1}{q - 1} \equiv p^s \pmod{n}$$

$\rho^{(p^s)'} = \rho_{\sigma(\omega)} = \rho_\omega = \rho$ . Thus  $(p^s)' \equiv 1' \pmod{n}$ . By Theorem 1, we have  $p^s \equiv 1 \pmod{n}$ . Thus  $s \equiv 0 \pmod{h}$ .

Conversely, we assume that  $\sigma = \nu^s$  for some  $s \equiv 0 \pmod{h}$ . We set  $c = \omega^k$  where  $\omega$  is a generator of  $F_q^*$ . Then  $\sigma(c) = \omega^{p^s k}$  and by the condition, we have  $p^s k \equiv k \pmod{n}$  since  $p^s \equiv 1 \pmod{n}$ . Thus

$$\frac{q^{(p^s k)'} - 1}{q - 1} \equiv p^s k \equiv k \equiv \frac{q^k - 1}{q - 1} \pmod{n}$$

Thus  $(p^s k)' \equiv k' \pmod{n}$  by Theorem 1 and so  $\rho_{\sigma(c)} = \rho^{(p^s k)'} = \rho^k = \rho_c$  for all  $c \in F_q^*$ . Hence we have for  $c \in F_q^*$

$$\begin{aligned} \sigma(c \circ d) &= \sigma(c \rho_c(d)) = \sigma(c) \sigma(\rho_c(d)) = \sigma(c) \sigma \rho_c(d) \\ &= \sigma(c) \rho_c \sigma(d) = \sigma(c) \rho_{\sigma(c)} \sigma(d) \\ &= \sigma(c) \circ \sigma(d) \end{aligned}$$

It is easy to see the equation  $\sigma(0 \circ d) = \sigma(0) \circ \sigma(d)$ .

The next proposition provides the automorphism group of  $D_3^2$

**Proposition 5.** *The automorphism group  $\text{Aut}(D_3^2)$  of  $D_3^2$  is isomorphic to the symmetric group  $S_3$  of degree 3.*

*Proof.* We set  $F_3^2 = F_3[x]/(x^2 + 1)$  and  $i = \bar{x}$  is the class of  $x$ . Let  $\tau, \sigma$  be automorphisms of the additive group of  $D_3^2$  defined by

$$\tau(\alpha + \beta i) := \alpha - \beta i, \quad \sigma(\alpha + \beta i) := \alpha + \beta + \beta i \text{ for } \alpha + \beta i \in D_3^2$$

where  $\alpha, \beta \in F_3$  and  $i^2 = -1$ . Then it is easy see

$$\langle \sigma, \tau \mid \sigma^3 = 1, \tau^2 = 1, \tau \sigma \tau^{-1} = \sigma^2 \rangle \cong S_3$$

We set  $\omega := 1-i$ ,  $b := \omega$ ,  $a := \omega^2 = i$ . Then  $\omega$  is a generator of  $F_3^*$  and we can see from the definition of  $D_3^*$ ,

$$\omega^k \circ x = \begin{cases} \omega^k x & \text{if } k \text{ is even} \\ \omega^k x^3 & \text{if } k \text{ is odd} \end{cases}$$

It follows from Theorem 4 and  $a^2 = -1$  that

$$D_3^* = \langle a, b \mid b^2 = a^2 = -1, bab = a \rangle$$

If  $\nu$  is an automorphism of  $D_3^*$ , then  $\nu$  fixes all elements of  $F_3$  and so  $\nu$  is determined by  $\nu(i) = \lambda + \eta i$ , where  $\lambda, \eta \in F_3$ . It is easy to see  $\eta \neq 0$ . Thus  $|\text{Aut}(D_3^*)| \leq 6$ . If  $\tau, \sigma$  are automorphisms of  $D_3^*$ , then we have the conclusion

$$\text{Aut}(D_3^*) \cong S_3$$

First we shall prove that  $\tau$  is an automorphism of  $D_3^*$ .

$$\tau(a) = \tau(i) = -i = -a, \quad \tau(b) = \tau(1-i) = 1+i = ab$$

Noting  $a^2 = (ab)^2 = b^2 = -1$ , we have

$$\tau(a)^2 = \tau(b)^2 = -1, \quad \tau(b)\tau(a)\tau(b) = ab(-a)ab = -a = \tau(a)$$

Next we shall prove that  $\sigma$  is an automorphism of  $D_3^*$ .

$$\sigma(a) = \sigma(i) = 1+i = \omega^2 \circ \omega = ab, \quad \sigma(b) = \sigma(1-i) = -i = -a$$

Noting  $(ab)^2 = a^2 = -1$  and  $-ba = ab$ , we have

$$\sigma(a)^2 = \sigma(b)^2 = -1, \quad \sigma(b)\sigma(a)\sigma(b) = (-a)ab(-a) = ab = \sigma(a)$$

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